### SHEARLET COORBIT SPACES AND ASSOCIATED BANACH FRAMES

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ABSTRACT. In this paper, we study the relationships of the newly developed continuous shearlet transform with the coorbit space theory. It turns out that all the conditions that are needed to apply the coorbit space theory can indeed be satisfied for the shearlet group. Consequently, we establish new families of smoothness spaces, the shearlet coorbit spaces. Moreover, our approach yields Banach frames for these spaces in a quite natural way. We also study the approximation power of best *n*-term approximation schemes and present some first numerical experiments.

## 1. Introduction

One of the central issues in applied analysis is the problem of analyzing and approximating a given signal. The first step is always to decompose the signal with respect to a suitable set of building blocks. These building blocks may, e.g., consist of the elements of a basis, a frame, or even of the elements of huge dictionaries. Classical examples with many important practical applications are wavelet bases/frames and Gabor frames, respectively. The goal is always to find those building blocks that are most appropriate for the given signal. This means, e.g., that the building blocks give rise to sparse representations and/or that interesting features of the signal can be easily extracted.

In signal/image analysis/processing, the wavelet transform has already been very successfully applied and is therefore very often the method of choice. Nevertheless, there is still a serious problem: in image analysis, it is desirable to obtain directional information which is very complicated in the wavelet setting. To overcome this deficiency, several approaches have been suggested in the last few years such as ridgelets [2], curvelets [3], contourlets [9], shearlets [18] and many others. Among all these approaches, the shearlet transform stands out for the following reason. In recent studies [5], it has been shown that the shearlet transform is related with group theory, i.e., this transform can be derived from a strongly continuous, irreducible square-integrable representation of a certain group, the shearlet group. This property provides us with a link to another central problem in applied analysis, namely how to measure the smoothness of a given function. Classical approaches are, e.g., based on (strong or weak) derivatives (Hölder and Sobolev spaces), or moduli of smoothness (Besov spaces). However, by means of the concept of square-integrable group representations it is possible to derive a unified approach to many different smoothness measures: they can all be restated in terms of the decay of the voice transform associated with the representation. Moreover, by discretizing the representation in a judicious way, one obtains frames for these smoothness spaces which can therefore be interpreted as the natural building blocks for the

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underlying transformation. All these relationships have been clarified in the so-called *coorbit space* theory which has been derived by Feichtinger and Gröchenig in a series of papers [10, 11, 12, 13].

Now, once we know that the shearlet transform also stems from a square-integrable group representation, it is quite natural to study the relationships with the coorbit theory and to ask the following questions:

- Is it possible to apply the general coorbit theory to the shearlet group, i.e., can all the necessary assumptions indeed be satisfied?
- If so, what can be said about the structure of the associated new families of smoothness spaces, the shearlet coorbit spaces?
- What is the convergence order that can be achieved by approximation schemes based on the shearlet frames?
- Are the resulting shearlet frames really useful in practice, i.e., is it possible to decompose and to reconstruct images in an efficient way?

In this paper, we give at least partial answers to these questions. It turns out that indeed all the assumptions needed for the coorbit theory can be fulfilled. The resulting conditions look quite canonical and the associated shearlet frames can be derived in a very natural way. Note that curvelet—type decomposition spaces were considered in [1].

The second question is clearly substantial enough to fill a whole series of papers, however, in this article we prove a first result which says that at least the very important Schwartz space is contained in the shearlet coorbit spaces.

In the context of the third question, especially nonlinear approximation methods such as best n-term approximations are of interest. In this paper, we show that similar to Gabor frames [17] the approximation order of best n-term approximation schemes based on shearlet frames depends on the smoothness of the signal as measured in a second shearlet coorbit space.

The answer to the fourth question is again a long-term project. Nevertheless, we present some first numerical experiments that indicate the usability of the shearlet approach.

This paper is organized as follows. In Section 2, we introduce the shearlet group and establish the square-integrability of its representation in  $L_2(\mathbb{R}^2)$ . For a slightly different version of the shearlet group, the reduced shearlet group, similar questions have already been studied in [5]. However, the representation of the reduced shearlet group fails to be irreducible so that this group is not suitable in our setting. In Section 3, we briefly recall the basic concepts of the coorbit space theory as far as it is needed for our purposes. In Section 4, we study the relationships of the shearlet transform with the coorbit space theory. First of all, in Subsection 4.1, we show that all the conditions to construct the coorbit spaces can be satisfied, i.e., the new family of shearlet coorbit spaces is established. Then, in Subsection 4.2, we derive the associated (Banach) frames for these spaces. To this end, suitable discrete subsets, the so-called U-dense sets, have to be constructed and an additional integrability condition has to be satisfied. In Subsection 4.3, we prove that the Schwartz space is contained in our shearlet coorbit spaces. Then, in Subsection 4.4, we study the power of best n-term approximation schemes based on the shearlet frames. Finally, in Section 5 we investigate the performance of our new frame algorithms by applying them to some test images.

#### 2. Continuous Shearlet Transform

In this section, we introduce the definition and basic properties of shearlet systems and of the Continuous Shearlet Transform from a group—theoretical point of view.

Recall that a unitary representation of a locally compact group G with the left–invariant Haar measure  $\mu_G$  on a Hilbert space  $\mathcal{H}$  is a homomorphism  $\pi$  from G into the group of unitary operators

 $\mathcal{U}(\mathcal{H})$  on  $\mathcal{H}$  which is continuous with respect to the strong operator topology. Given a unitary representation  $\pi$  of G on  $\mathcal{H}$ , a function  $\psi \in \mathcal{H}$  is called admissible, if

$$\int_{G} |\langle \psi, \pi(g)\psi \rangle|^{2} d\mu_{G}(g) < \infty.$$

The admissibility condition is important, since it yields to a resolution of identity that allows the reconstruction of a function  $f \in \mathcal{H}$  from its voice transform  $V_{\psi} : \mathcal{H} \to L_2(G)$  given by

$$V_{\psi}(f)(g) := \langle f, \pi(g)\psi \rangle. \tag{1}$$

Suppose that W is a closed subspace of  $\mathcal{H}$ . Then W is called an *invariant subspace* for  $\pi$ , if  $\pi(g)W\subseteq W$  for all  $g\in G$ . If there exists a nontrivial invariant subspace for  $\pi$ , then  $\pi$  is called reducible, otherwise  $\pi$  is *irreducible*. If  $\pi$  is irreducible and there exists at least one nontrivial admissible function  $\psi\in\mathcal{H}$ , then  $\pi$  is called square-integrable. In this case there exists a positive, densely defined self-adjoint operator A on  $\mathcal{H}$  such that the following orthogonality relation holds true:

$$\int_{G} \overline{\langle \pi(g)\tilde{f}_{1}, f_{1} \rangle} \langle \pi(g)\tilde{f}_{2}, f_{2} \rangle d\mu_{G}(g) = \langle A\tilde{f}_{2}, A\tilde{f}_{1} \rangle \langle f_{1}, f_{2} \rangle. \tag{2}$$

For more details on the theory of locally compact groups and group representations we refer to [14]. In this paper, we are interested in the shearlet group. For  $a \in \mathbb{R}^* := \mathbb{R} \setminus \{0\}$  and  $s \in \mathbb{R}$ , let

$$A_a = \begin{pmatrix} a & 0 \\ 0 & \operatorname{sgn}(a)\sqrt{|a|} \end{pmatrix}$$
 and  $S_s = \begin{pmatrix} 1 & s \\ 0 & 1 \end{pmatrix}$ 

denote the parabolic scaling matrix and the shear matrix, respectively, where  $\operatorname{sgn}(a)$  denotes the sign of a. Further, for  $t \in \mathbb{R}^2$  and  $M \in GL(2,\mathbb{R})$ , let

$$T_t f(x) := f(x - t)$$
 and  $D_M f(x) := |\det M|^{-\frac{1}{2}} f(M^{-1}x)$ 

denote the translation and dilation operator on  $L_2(\mathbb{R}^2)$ , respectively. It is easy to check that  $T_t D_{S_s A_a}$  is a unitary operator on  $L_2(\mathbb{R}^2)$ . The (full) shearlet group  $\mathbb{S}$  is then defined to be the set  $\mathbb{R}^* \times \mathbb{R} \times \mathbb{R}^2$  endowed with the group operation

$$(a, s, t) (a', s', t') = (aa', s + s'\sqrt{|a|}, t + S_sA_at').$$

A left-invariant Haar measure of S is given by

$$\mu_{\mathbb{S}} = \frac{da}{|a|^3} \, ds \, dt.$$

Let  $\pi: \mathbb{S} \to \mathcal{U}(L_2(\mathbb{R}^2))$  be defined by

$$\pi(a, s, t) \psi(x) := T_t D_{S_s A_a} \psi = |a|^{-\frac{3}{4}} \psi(A_a^{-1} S_s^{-1} (x - t)). \tag{3}$$

In the following, we use the abbreviation  $\psi_{a,s,t} := \pi(a,s,t) \psi$ . In [5] it was shown that the mapping  $\pi$  is a unitary representation of the reduced shearlet group  $\mathbb{R}^+ \times \mathbb{R} \times \mathbb{R}^2$ , i.e., the group with only positive scalings a > 0. For the convenience of the reader we provide a short proof of this fact for the full shearlet group.

**Lemma 2.1.** The mapping  $\pi$  defined by (3) is a unitary representation of  $\mathbb{S}$ .

*Proof.* Let  $\psi \in L^2(\mathbb{R}^2)$ ,  $x \in \mathbb{R}^2$ , and  $(a, s, t), (a', s', t') \in \mathbb{S}$ . Using that

$$A_{a'}^{-1}S_{s'}^{-1}A_a^{-1}S_s^{-1} = \begin{pmatrix} \frac{1}{a'} & -\frac{s'}{a'} \\ 0 & \frac{\operatorname{sgn}(a')}{\sqrt{|a'|}} \end{pmatrix} \begin{pmatrix} \frac{1}{a} & -\frac{s}{a} \\ 0 & \frac{\operatorname{sgn}(a)}{\sqrt{|a|}} \end{pmatrix} = \begin{pmatrix} \frac{1}{aa'} & -\frac{s}{aa'} - \frac{s'\operatorname{sgn}(a)}{a'\sqrt{|a|}} \\ 0 & \frac{\operatorname{sgn}(aa')}{\sqrt{|aa'|}} \end{pmatrix} = A_{aa'}^{-1}S_{s+s'\sqrt{|a|}}^{-1},$$

we obtain

$$\begin{split} \pi(a,s,t)(\pi(a',s',t')\psi)(x) &= |a|^{-\frac{3}{4}}\pi(a',s',t')\psi(A_a^{-1}S_s^{-1}(x-t)) \\ &= |aa'|^{-\frac{3}{4}}\psi(A_{a'}^{-1}S_{s'}^{-1}(A_a^{-1}S_s^{-1}(x-t)-t')) \\ &= |aa'|^{-\frac{3}{4}}\psi(A_{a'}^{-1}S_{s'}^{-1}A_a^{-1}S_s^{-1}(x-(t+S_sA_at'))) \\ &= |aa'|^{-\frac{3}{4}}\psi(A_{aa'}^{-1}S_{s+s'}^{-1}\sqrt{|a|}(x-(t+S_sA_at'))) \\ &= \pi((a,s,t)(a',s',t'))\psi(x). \end{split}$$

Let the Fourier transform be defined by

$$\mathcal{F}f(\omega) = \hat{f}(\omega) = \int_{\mathbb{R}^2} f(x)e^{-2\pi i \langle \omega, x \rangle} dx.$$

Then straightforward computation yields

$$\hat{\psi}_{a,s,t}(\omega) = |a|^{\frac{3}{4}} e^{-2\pi i t \omega} \hat{\psi} \left( A_a^{\mathrm{T}} S_s^{\mathrm{T}} \omega \right) = |a|^{\frac{3}{4}} e^{-2\pi i t \omega} \hat{\psi} \left( a\omega_1, \mathrm{sgn}(a) \sqrt{|a|} (s\omega_1 + \omega_2) \right). \tag{4}$$

The following result shows that the unitary representation  $\pi$  defined in (3) is a square-integrable representation of  $\mathbb{S}$ . This is not the case for the reduced shearlet group, in particular the representation is not irreducible.

**Theorem 2.2.** A function  $\psi \in L_2(\mathbb{R}^2)$  is admissible if and only if it fulfills the admissibility condition

$$C_{\psi} := \int_{\mathbb{R}} \int_{\mathbb{R}} \frac{|\hat{\psi}(\omega_1, \omega_2)|^2}{\omega_1^2} d\omega_2 d\omega_1 < \infty.$$
 (5)

Then, for any  $f \in L^2(\mathbb{R}^2)$ , the following equality holds true:

$$\int_{\mathbb{S}} |\langle f, \psi_{a,s,t} \rangle|^2 d\mu_{\mathbb{S}}(a, s, t) = C_{\psi} \|f\|_2^2.$$
 (6)

In particular, the unitary representation  $\pi$  is irreducible and hence square-integrable.

*Proof.* Employing the Plancherel theorem and (4), we obtain

$$\begin{split} \int_{\mathbb{S}} |\langle f, \psi_{a,s,t} \rangle|^2 \, d\mu_{\mathbb{S}}(a,s,t) &= \int_{\mathbb{S}} |f * \psi_{a,s,0}^*(t)|^2 \, dt \, ds \, \frac{da}{|a|^3} \\ &= \int_{\mathbb{R}} \int_{\mathbb{R}} \int_{\mathbb{R}^2} |\hat{f}(\omega)|^2 |\hat{\psi}^*_{a,s,0}(\omega)|^2 \, d\omega \, ds \, \frac{da}{|a|^3} \\ &= \int_{\mathbb{R}} \int_{\mathbb{R}} \int_{\mathbb{R}^2} |\hat{f}(\omega)|^2 |a|^{\frac{3}{2}} |\hat{\psi}(A_a^T S_s^T \omega)|^2 \, d\omega \, ds \, \frac{da}{|a|^3} \\ &= \int_{\mathbb{R}} \int_{\mathbb{R}^2} \int_{\mathbb{R}} |\hat{f}(\omega)|^2 |a|^{-\frac{3}{2}} |\hat{\psi}(a\omega_1, \operatorname{sgn}(a) \sqrt{|a|}(\omega_2 + s\omega_1))|^2 \, ds \, d\omega \, da, \end{split}$$

where  $\psi_{a,s,0}^*(x) = \overline{\psi_{a,s,0}(-x)}$ . Now we use that

$$\int\limits_{\mathbb{R}} \int\limits_{\mathbb{R}} f(ax) \, dx \, da = \int\limits_{\mathbb{R}} \int\limits_{\mathbb{R}} \frac{1}{|a|} \, f(y) \, dy \, da.$$

Substituting  $\xi_2 := \operatorname{sgn}(a)\sqrt{|a|}(\omega_2 + s\omega_1)$ , i.e.,  $\operatorname{sgn}(a)\sqrt{|a|}\omega_1 ds = d\xi_2$ , we obtain

$$\int_{\mathbb{S}} |\langle f, \psi_{a,s,t} \rangle|^2 d\mu_{\mathbb{S}}(a, s, t) = \int_{\mathbb{R}} \int_{\mathbb{R}^2} \int_{\mathbb{R}} |\hat{f}(\omega)|^2 \frac{a^{-2}}{|\omega_1|} |\hat{\psi}(a\omega_1, \xi_2)|^2 d\xi_2 d\omega da.$$

Next, we substitute  $\xi_1 := a\omega_1$ , i.e.,  $\omega_1 da = d\xi_1$  which results in

$$\int_{\mathbb{S}} |\langle f, \psi_{a,s,t} \rangle|^2 d\mu_{\mathbb{S}}(a,s,t) = \int_{\mathbb{R}} \int_{\mathbb{R}^2} \int_{\mathbb{R}} |\hat{f}(\omega)|^2 \frac{\omega_1^2}{\xi_1^2 \omega_1^2} |\hat{\psi}(\xi_1, \xi_2)|^2 d\xi_2 d\omega d\xi_1 = C_{\psi} \|f\|_2^2.$$

Setting  $f := \psi$ , we see that  $\psi$  is admissible if and only if  $C_{\psi}$  is finite.

Now we show how (6) implies the irreducibility of  $\pi$ . Towards a contradiction, assume that there exists a closed, proper, nontrivial subspace W of  $L_2(\mathbb{R}^2)$  such that  $\pi(g)W \subseteq W$  for all  $g \in \mathbb{S}$ . Hence there exist nontrivial functions  $\psi \in W$  and  $f \in W^{\perp}$  such that

$$\langle f, \psi_{a,s,t} \rangle = 0$$
 for all  $(a, s, t) \in \mathbb{S}$ .

Employing (6) we obtain

$$0 = \int_{\mathbb{S}} |\langle f, \psi_{a,s,t} \rangle|^2 \frac{da}{|a|^3} ds dt = ||f||_2^2 \int_{\mathbb{R}} \int_{\mathbb{R}} \frac{|\hat{\psi}(\omega_1, \omega_2)|^2}{\omega_1^2} d\omega_2 d\omega_1,$$

which is only possible if

$$0 = \int_{\mathbb{R}} \int_{\mathbb{R}} \frac{|\hat{\psi}(\omega_1, \omega_2)|^2}{\omega_1^2} d\omega_2 d\omega_1.$$

This is a contradiction, since  $\psi \neq 0$ .

A function  $\psi \in L_2(\mathbb{R}^2)$  fulfilling the admissibility condition (5) is called a *continuous shearlet*. Further, the voice transform (1) which we denote for our special case  $G = \mathbb{S}$  by  $\mathcal{SH}_{\psi}$  instead of  $V_{\psi}$  is given by  $\mathcal{SH}_{\psi} : L_2(\mathbb{R}^2) \to L_2(\mathbb{S})$ ,

$$\mathcal{SH}_{\psi}f(a,s,t) = \langle f, \psi_{a,s,t} \rangle = (f * \psi_{a,s,0}^*)(t), \tag{7}$$

and is called *Continuous Shearlet Transform*. An example of a continuous shearlet can be constructed as follows: Let  $\psi_1$  be a continuous wavelet with  $\hat{\psi}_1 \in C^{\infty}(\mathbb{R})$  and supp  $\hat{\psi}_1 \subseteq [-2, -\frac{1}{2}] \cup [\frac{1}{2}, 2]$ , and let  $\psi_2$  be such that  $\hat{\psi}_2 \in C^{\infty}(\mathbb{R})$  and supp  $\hat{\psi}_2 \subseteq [-1, 1]$ . Then the function  $\psi \in L^2(\mathbb{R}^2)$  defined by

$$\hat{\psi}(\xi) = \hat{\psi}(\xi_1, \xi_2) = \hat{\psi}_1(\xi_1) \, \hat{\psi}_2(\frac{\xi_2}{\xi_1})$$

is a continuous shearlet. The corresponding family of continuous shearlets was exploited in [20] to show that the Continuous Shearlet Transform precisely resolves the wavefront set of a distribution. Generally speaking, for a given (small)  $scale\ a$  the Continuous Shearlet Transform provides information about the  $location\ t$  and  $orientation\ s$  of singularities of f.

### 3. Coorbit Theory

In this section, we want to briefly recall the basic facts concerning the coorbit theory as developed by Feichtinger and Gröchenig in a series of papers [10, 11, 12, 13]. This theory is based on square-integrable group representations and has the following important advantages:

• The theory is universal in the following sense: Given a Hilbert space  $\mathcal{H}$  and a square-integrable representation of a group G, the whole abstract machinery can be applied.

- The approach provides us with natural families of smoothness spaces, the coorbit spaces. They are defined as the collection of all elements in the Hilbert space  $\mathcal{H}$  for which the voice transform associated with the group representation has a certain decay. In many cases, e.g., for the affine group and the Weyl-Heisenberg group, these coorbit spaces coincide with classical smoothness spaces such as Besov and modulation spaces, respectively.
- The Feichtinger-Gröchenig theory does not only give rise to Hilbert frames in  $\mathcal{H}$ , but also to frames in scales of the associated coorbit spaces. Moreover, not only Hilbert spaces, but also Banach spaces can be handled.
- The discretization process that produces the frame does not take place in  $\mathcal{H}$  (which might look ugly and complicated), but on the topological group at hand (which is usually a more handy object), and is transported to  $\mathcal{H}$  by the group representation.

First of all, in Subsection 3.1, we explain how the coorbit spaces can be established. Then, in Subsection 3.2, we discuss the discretization problem, i.e., we outline the basic steps to construct Banach frames for these spaces. The facts are mainly taken from [15].

3.1. Coorbit Spaces. Fix an irreducible, unitary, continuous representation  $\pi$  of a  $\sigma$ -compact group G in a Hilbert space  $\mathcal{H}$ . Moreover, choose a weight function w, i.e.,  $w(gh) \leq w(g)w(h)$  and  $w(g) \geq 1$  for all  $g, h \in G$ . Let us assume that the representation  $\pi$  is w-integrable, in other words

$$\mathcal{A}_w := \{ \psi \in \mathcal{H} : \int_G |\langle \psi, \pi(g)\psi \rangle | w(g) d\mu_G(g) < \infty \} \quad \text{is non-trivial.}$$
 (8)

Then, the first step is to construct a suitable large object that may serve as the reservoir for the coorbit spaces. For  $1 \le p < \infty$ , let

$$L_{p,w}(G) := \{ f \text{ measurable on } G : \|f\|_{L_{p,w}(G)} := \left( \int_G |f(g)|^p w(g)^p d\mu_G(g) \right)^{1/p} < \infty \},$$

and let  $L_{\infty,w}$  be defined with the usual modifications. We consider the space

$$\mathcal{H}_{1,w} := \{ f \in \mathcal{H} : V_{\psi}(f) = \langle f, \pi(\cdot)\psi \rangle \in L_{1,w}(G) \}, \tag{9}$$

with norm

$$||f||_{\mathcal{H}_{1,w}} := ||V_{\psi}f||_{L_{1,w}}. \tag{10}$$

Then, the anti-dual  $\mathcal{H}_{1,w}^{\sim}$ , the space of all continuous conjugate-linear functionals on  $\mathcal{H}_{1,w}$ , will serve as the reservoir for selection.  $\mathcal{H}_{1,w}$  and  $\mathcal{H}_{1,w}^{\sim}$  are  $\pi$ -invariant Banach spaces with continuous embeddings

$$\mathcal{H}_{1,w} \hookrightarrow \mathcal{H} \hookrightarrow \mathcal{H}_{1,w}^{\sim},$$
 (11)

and their definition is independent of the analyzing vector  $\psi \in \mathcal{A}_w$ . Moreover, it follows that  $\mathcal{H}_{1,w} = \mathcal{A}_w$  as sets. The inner product on  $\mathcal{H} \times \mathcal{H}$  extends to a sesquilinear form on  $\mathcal{H}_{1,w}^{\sim} \times \mathcal{H}_{1,w}$ , therefore for  $\psi \in \mathcal{H}_{1,w}$  and  $f \in \mathcal{H}_{1,w}^{\sim}$  the extended representation coefficients

$$V_{\psi}(f)(x) := \langle f, \pi(x)\psi \rangle_{\mathcal{H}_{1,w}^{\sim} \times \mathcal{H}_{1,w}}$$

are well-defined. Now, for  $1 \le p \le \infty$ , we define the *coorbit spaces* 

$$\mathcal{H}_{p,w} := \{ f \in \mathcal{H}_{1,w}^{\sim} : V_{\psi}(f) \in L_{p,w}(G) \}$$

$$\tag{12}$$

with norms

$$||f||_{\mathcal{H}_{p,w}} := ||V_{\psi}f||_{L_{p,w}(G)}.$$

Indeed  $\mathcal{H}_{1,w}$  is the same space as those defined in (9). Moreover, we have that  $\mathcal{H} = \mathcal{H}_{2,1}$ . Again, the definition is independent of the analyzing vector  $\psi$  and of the weight w in the sense that  $\tilde{w}$  with  $\tilde{w}(g) \leq Cw(g)$  for all  $g \in G$  and with  $\mathcal{A}_{\tilde{w}} \neq \{0\}$  gives rise to the same spaces.

3.2. Banach Frames. The Feichtinger-Gröchenig theory also provides us with a machinery to construct atomic decompositions and Banach frames for the coorbit spaces introduced above. Some further preparations are necessary. Given a compact set U with non-void interior and  $e \in U$ , the set of basic atoms is defined by

$$\mathcal{B}_w := \{ \psi \in \mathcal{H} : \langle \psi, \pi(\cdot)\psi \rangle \in \mathcal{M}(L_{1,w}(G)) \}, \tag{13}$$

where

$$\mathcal{M}(L_{1,w}) := \{ F \text{ such that } MF(g) := \sup_{u \in gU} |F(u)| \in L_{1,w}(G) \}.$$

It follows that  $\mathcal{B}_w \subset \mathcal{H}_{1,w}$ . Moreover, a (countable) family  $X = (g_\lambda)_{\lambda \in \Lambda}$  in G is said to be U-dense if  $\bigcup_{\lambda \in \Lambda} g_\lambda U = G$ , and separated if for some compact neighborhood Q of e we have  $g_i Q \cap g_j Q = \emptyset, i \neq j$ , and relatively separated if X is a finite union of separated sets. Finally, the U-oscillation is defined as

$$\operatorname{osc}_{U}(g) := \sup_{u \in U} |V_{\psi}(\psi)(ug) - V_{\psi}(\psi)(g)|. \tag{14}$$

Then, one can show the following decomposition theorem which says that discretizing the representation by means of an U-dense set produces an atomic decomposition for  $\mathcal{H}_{p,w}$ .

**Theorem 3.1.** Assume that the irreducible, unitary representation  $\pi$  is w-integrable and choose  $\psi \in \mathcal{B}_w$  normalized by  $||A\psi|| = 1$ , where A is defined by (2). Choose a neighborhood U so small that

$$\|\operatorname{osc}_{U}\|_{L_{1,w}(G)} < 1.$$
 (15)

Then for any U-dense and relatively separated set  $X = (g_{\lambda})_{{\lambda} \in {\Lambda}}$  the space  $\mathcal{H}_{p,w}$  has the following atomic decomposition: If  $f \in \mathcal{H}_{p,w}$ , then it has the atomic decomposition

$$f = \sum_{\lambda \in \Lambda} c_{\lambda}(f)\pi(g_{\lambda})\psi \tag{16}$$

where the sequence of coefficients depends linearly on f and satisfies

$$\|(c_{\lambda}(f))_{\lambda \in \Lambda}\|_{\ell_{p,w}} \le C\|f\|_{\mathcal{H}_{p,w}} \tag{17}$$

with a constant C depending only on  $\psi$  and with  $\ell_{p,w}$  being defined by

$$\ell_{p,w} := \{ c = (c_{\lambda})_{\lambda \in \Lambda} : ||c||_{\ell_{p,w}} := ||cw||_{\ell_p} < \infty \},$$

where  $w = (w(g_{\lambda}))_{\lambda \in \Lambda}$ . Conversely, if  $(c_{\lambda}(f))_{\lambda \in \Lambda} \in \ell_{p,w}$ , then  $f = \sum_{\lambda \in \Lambda} c_{\lambda} \pi(g_{\lambda}) \psi$  is in  $\mathcal{H}_{p,w}$  and

$$||f||_{\mathcal{H}_{p,w}} \le C' ||(c_{\lambda}(f))_{\lambda \in \Lambda}||_{\ell_{p,w}}.$$

$$\tag{18}$$

Given such an atomic decomposition, the problem arises under which conditions a function f is completely determined by its  $moments \langle f, \pi(g_{\lambda})\psi \rangle$  and how f can be reconstructed from these moments. This is answered by the following theorem which establishes the existence of Banach frames.

**Theorem 3.2.** Impose the same assumptions as in Theorem 3.1. Choose a neighborhood U of e such that

$$\|\operatorname{osc}_{U}\|_{L_{1,w}(G)} < 1/\|V_{\psi}(\psi)\|_{L_{1,w}(G)}.$$
 (19)

Then, for every U-dense and relatively separated family  $X = (g_{\lambda})_{\lambda \in \Lambda}$  in G the set  $\{\pi(g_{\lambda})\psi : \lambda \in \Lambda\}$  is a Banach frame for  $\mathcal{H}_{p,w}$ . This means that

i) 
$$f \in \mathcal{H}_{p,w}$$
 if and only if  $(\langle f, \pi(g_{\lambda})\psi \rangle_{\mathcal{H}_{1,w}^{\sim} \times \mathcal{H}_{1,w}})_{\lambda \in \Lambda} \in \ell_{p,w};$ 

ii) there exist two constants  $0 < D \le D' < \infty$  such that

$$D \|f\|_{\mathcal{H}_{p,w}} \le \|(\langle f, \pi(g_{\lambda})\psi \rangle_{\mathcal{H}_{1,w}^{\sim} \times \mathcal{H}_{1,w}})_{\lambda \in \Lambda}\|_{\ell_{p,w}} \le D' \|f\|_{\mathcal{H}_{p,w}}; \tag{20}$$

iii) there exists a bounded, linear reconstruction operator S from  $\ell_{p,w}$  to  $\mathcal{H}_{p,w}$  such that  $S\left((\langle f, \psi(g_{\lambda})\psi\rangle_{\mathcal{H}_{1,w}^{\sim}}\times\mathcal{H}_{1,w})_{\lambda\in\Lambda}\right)=f.$ 

It remains to check how the conditions (15) and (19) can be ensured. One answer is given by the following lemma proved in [15].

**Lemma 3.3.** Let  $V_{\psi}(\psi) \in L_{1,w}$  and  $\operatorname{osc}_{U} \in L_{1,w}$  for one compact neighborhood U of e. Then we have that  $\psi \in \mathcal{B}_{w}$ . If, in addition,  $V_{\psi}(\psi)$  is continuous, then

$$\lim_{U \to \{e\}} \|\operatorname{osc}_{U}\|_{L_{1,w}(G)} = 0. \tag{21}$$

For further information on coorbit space theory, the reader is referred to [10, 11, 12, 13, 15].

#### 4. Shearlet Coorbit Theory

In this section we want to establish a coorbit theory based on the square-integrable representation (3) of the shearlet group.

4.1. Shearlet Coorbit Space. We consider weight functions w(a, s, t) = w(a, s) that are locally integrable with respect to a and s, i.e.,  $w \in L_1^{loc}(\mathbb{R}^2)$ . To obtain well-defined shearlet coorbit spaces (12) which we denote for our special setting  $G = \mathbb{S}$  by  $\mathcal{SC}_{p,w}$  instead of  $\mathcal{H}_{p,w}$ , more precisely, to get,

$$\mathcal{SC}_{p,w} := \{ f \in \mathcal{H}_{1,w}^{\sim} : \mathcal{SH}_{\psi}(f) \in L_{p,w}(\mathbb{S}) \},$$

we have only to ensure that there exists a function  $\psi \in L_2(\mathbb{R}^2)$  such that  $\mathcal{SH}_{\psi}(\psi) = \langle \psi, \pi(g)\psi \rangle \in L_{1,w}(\mathbb{S})$ . To this end, we need a preliminary lemma on the support of  $\psi$ .

**Lemma 4.1.** Let  $a_1 > a_0 \ge \alpha > 0$ , b > 0 and  $\operatorname{supp} \hat{\psi} \subseteq ([-a_1, -a_0] \cup [a_0, a_1]) \times [-b, b]$ . Then  $\hat{\psi}\hat{\psi}_{a,s,0} \not\equiv 0$  implies  $a \in \left[-\frac{a_1}{a_0}, -\frac{a_0}{a_1}\right] \cup \left[\frac{a_0}{a_1}, \frac{a_1}{a_0}\right]$  and  $s \in [-c, c]$ , where  $c := \frac{b}{a_0} \left(1 + \left(\frac{a_1}{a_0}\right)^{1/2}\right)$ .

*Proof.* By (4) and since a > 0, we see that the following conditions are necessary for  $\hat{\psi}(\omega)\hat{\psi}_{a,s,0}(\omega) \not\equiv 0$ :

i) 
$$\begin{array}{llll} a_0 \leq \omega_1 \leq & a_1 & \text{and} & \frac{a_0}{a} \leq \omega_1 \leq & \frac{a_1}{a} & \text{or} \\ -a_1 \leq \omega_1 \leq -a_0 & \text{and} & -\frac{a_1}{a} \leq \omega_1 \leq -\frac{a_0}{a} \\ \text{ii)} & -b \leq \omega_2 \leq b & \text{and} & -a^{-1/2}b - s\omega_1 \leq \omega_2 \leq a^{-1/2}b - s\omega_1 \end{array}$$

Condition i) implies that

$$a \in \left[\frac{a_0}{a_1}, \frac{a_1}{a_0}\right]. \tag{22}$$

For  $s \geq 0$  and  $a_0 \leq \omega_1 \leq a_1$  the second condition in ii) becomes

$$-a^{-1/2}b - sa_1 \le \omega_2 \le a^{-1/2}b - sa_0$$

and with (22) further

$$-\left(\frac{a_0}{a_1}\right)^{-1/2}b - sa_1 \le \omega_2 \le \left(\frac{a_0}{a_1}\right)^{-1/2}b - sa_0.$$

Together with the first condition in ii) this results in  $s \leq \frac{b}{a_0} \left(1 + \left(\frac{a_1}{a_0}\right)^{1/2}\right)$ . The same condition can be deduced for  $s \geq 0$  and  $-a_1 \leq \omega_1 \leq -a_0$ .

For s < 0 and  $a_0 \le \omega_1 \le a_1$  or  $-a_1 \le \omega_1 \le -a_0$ , we obtain that  $s \ge -\frac{b}{a_0} \left(1 + \left(\frac{a_1}{a_0}\right)^{1/2}\right)$  is necessary for  $\hat{\psi}(\omega)\hat{\psi}_{a,s,0}(\omega) \not\equiv 0$ . Finally, the case a < 0 can be treated similarly which results in  $a \in \left[-\frac{a_1}{a_0}, -\frac{a_0}{a_1}\right]$ . This completes the proof.

Now we can prove the required property of  $\mathcal{SH}_{\psi}(\psi)$  for the shearlet transform  $\mathcal{SH}_{\psi}$ .

**Theorem 4.2.** Let  $\psi$  be a Schwartz function such that supp  $\hat{\psi} \subseteq ([-a_1, -a_0] \cup [a_0, a_1]) \times [-b, b]$ . Then we have that  $\mathcal{SH}_{\psi}(\psi) \in L_{1,w}(\mathbb{S})$ , i.e.,

$$\int_{\mathbb{S}} |\mathcal{SH}_{\psi}(\psi)(g)| \, w(g) \, d\mu(g) < \infty.$$

*Proof.* Straightforward computation gives

$$\begin{split} \int_{\mathbb{S}} |\mathcal{SH}_{\psi}(\psi)(g)| \, w(g) \, d\mu(g) &= \int_{\mathbb{R}} \int_{\mathbb{R}} \int_{\mathbb{R}^{2}} |\langle \psi, \psi_{a,s,t} \rangle| \, w(a,s) \, dt ds \frac{da}{|a|^{3}} \\ &= \int_{\mathbb{R}} \int_{\mathbb{R}} \int_{\mathbb{R}^{2}} |\psi * \psi_{a,s,0}^{*}(t)| \, w(a,s) \, dt ds \frac{da}{|a|^{3}} \\ &= \int_{\mathbb{R}} \int_{\mathbb{R}} \int_{\mathbb{R}^{2}} |\mathcal{F}^{-1} \mathcal{F} \big( \psi * \psi_{a,s,0}^{*} \big)(t)| \, dt \, w(a,s) \, ds \frac{da}{|a|^{3}} \\ &= \int_{\mathbb{R}} \int_{\mathbb{R}} \|\mathcal{F} \big( \psi * \psi_{a,s,0}^{*} \big)\|_{\mathcal{F}^{-1}L_{1}} \, w(a,s) \, ds \frac{da}{|a|^{3}} \\ &= \int_{\mathbb{R}} \int_{\mathbb{R}} \|\hat{\psi} \, \hat{\psi}_{a,s,0}\|_{\mathcal{F}^{-1}L_{1}} \, w(a,s) \, ds \frac{da}{|a|^{3}}, \end{split}$$

where  $\psi^* = \bar{\psi}(-\cdot)$  and  $||f||_{\mathcal{F}^{-1}L_1} := \int_{\mathbb{R}^2} |\mathcal{F}^{-1}f(x)| dx$  for  $f \in L_1$ . By Lemma 4.1 this can be rewritten as

$$\int_{\mathbb{S}} |\mathcal{SH}_{\psi}(\psi)(g)| \, w(g) \, d\mu(g) = \left( \int_{-a_1/a_0}^{-a_0/a_1} + \int_{a_0/a_1}^{a_1/a_0} \right) \int_{-c}^{c} \|\hat{\psi} \, \hat{\psi}_{a,s,0}^*\|_{\mathcal{F}^{-1}L_1} \, w(a,s) \, ds \frac{da}{|a|^3},$$

which is obviously finite.

4.2. Shearlet Banach Frames. In order to find atomic decompositions and Banach frames for our shearlet coorbit spaces  $\mathcal{SC}_{p,w}$ , we have to determine the corresponding U-dense sets first.

**Proposition 4.3.** Let U be a neighborhood of the identity in  $\mathbb{S}$ , and let  $\alpha > 1$  and  $\beta, \gamma > 0$  be defined such that

$$\left[\alpha^{-\frac{1}{2}}, \alpha^{\frac{1}{2}}\right) \times \left[-\frac{\beta}{2}, \frac{\beta}{2}\right) \times \left[-\frac{\gamma}{2}, \frac{\gamma}{2}\right]^2 \subseteq U. \tag{23}$$

Then the sequence

$$\{(\epsilon\alpha^j,\beta k\alpha^{\frac{j}{2}},S_{\beta k\alpha^{\frac{j}{2}}}A_{\alpha^j}\gamma m):j,k\in\mathbb{Z},\,m\in\mathbb{Z}^2,\,\epsilon\in\{-1,1\}\}$$

is U-dense and relatively separated.

*Proof.* Let U be a neighborhood of the identity in S. Then the existence of  $\alpha > 1$  and  $\beta, \gamma > 0$  satisfying (23), follows immediately from the fact that

$$\{[a^{-\frac{1}{2}}, a^{\frac{1}{2}}) \times [-\frac{b}{2}, \frac{b}{2}) \times [-\frac{c}{2}, \frac{c}{2})^2 : a > 1, b, c > 0\}$$

forms a fundamental system of neighborhoods of the identity in  $\mathbb{S}$ .

Now set

$$U_0 = \left[\alpha^{-\frac{1}{2}}, \alpha^{\frac{1}{2}}\right) \times \left[-\frac{\beta}{2}, \frac{\beta}{2}\right) \times \left[-\frac{\gamma}{2}, \frac{\gamma}{2}\right]^2.$$

Observe that, by (23), it is sufficient to prove that the sequence  $\{(\epsilon\alpha^j, \beta k\alpha^{\frac{j}{2}}, S_{\beta k\alpha^{\frac{j}{2}}} A_{\alpha^j}\gamma m) : j, k \in \mathbb{Z}, m \in \mathbb{Z}^2, \epsilon \in \{-1, 1\}\}$  is  $U_0$ -dense.

For this, fix any  $(x, y, z) \in \mathbb{S}$ . In the following we assume that  $x \in \mathbb{R}^+$  in which case we have to set  $\epsilon = 1$ . If x < 0, the same arguments apply while choosing  $\epsilon = -1$ .

For all  $\alpha > 1$  and  $\beta, \gamma > 0$ ,

$$\begin{split} &(\alpha^{j},\beta k\alpha^{\frac{j}{2}},S_{\beta k\alpha^{\frac{j}{2}}}A_{\alpha^{j}}\gamma m)\cdot U_{0}\\ &= \{(\alpha^{j}u,\beta k\alpha^{\frac{j}{2}}+v\alpha^{\frac{j}{2}},S_{\beta k\alpha^{\frac{j}{2}}}A_{\alpha^{j}}\gamma m+S_{\beta k\alpha^{\frac{j}{2}}}A_{\alpha^{j}}w):(u,v,w)\in U_{0}\}\\ &= \{(\alpha^{j}u,\alpha^{\frac{j}{2}}(\beta k+v),S_{\beta k\alpha^{\frac{j}{2}}}A_{\alpha^{j}}(\gamma m+w)):(u,v,w)\in U_{0}\}. \end{split}$$

Then  $[\log_{\alpha} x - \frac{1}{2}, \log_{\alpha} x + \frac{1}{2})$  contains a unique integer j, and there exists a unique  $u \in [\alpha^{-\frac{1}{2}}, \alpha^{\frac{1}{2}})$  such that  $\log_{\alpha} x = \log_{\alpha} u + j$ . Further, there exist a unique integer k and a unique  $v \in [-\frac{\beta}{2}, \frac{\beta}{2})$  so that  $\beta k + v = \alpha^{-\frac{j}{2}}y$ . Finally, there exist unique  $m_2 \in \mathbb{Z}$  and  $w_2 \in [-\frac{\gamma}{2}, \frac{\gamma}{2})$  with  $\gamma m_2 + w_2 = \alpha^{-\frac{j}{2}}z_2$  and unique  $m_1 \in \mathbb{Z}$  and  $w_1 \in [-\frac{\gamma}{2}, \frac{\gamma}{2})$  such that  $\gamma m_1 + w_1 = \alpha^{-j}z_1 - \beta k(\gamma m_2 + w_2)$ . Since

$$S_{\beta k\alpha^{\frac{j}{2}}}A_{\alpha^j}(\gamma m+w)=\begin{pmatrix}\alpha^j&\beta k\alpha^j\\0&\alpha^{\frac{j}{2}}\end{pmatrix}(\gamma m+w)=\begin{pmatrix}\alpha^j(\gamma m_1+w_1)+\beta k\alpha^j(\gamma m_2+w_2)\\\alpha^{\frac{j}{2}}(\gamma m_2+w_2)\end{pmatrix},$$

we have shown that

$$(x,y,z) = (\alpha^j u, \alpha^{\frac{j}{2}}(\beta k + v), S_{\beta k \alpha^{\frac{j}{2}}} A_{\alpha^j}(\gamma m + w)) \in (\alpha^j, \beta k \alpha^{\frac{j}{2}}, S_{\beta k \alpha^{\frac{j}{2}}} A_{\alpha^j} \gamma m) \cdot U_0.$$

Finally, the uniqueness of the decomposition proves immediately that the chosen sequence is relatively separated.  $\Box$ 

Next, we see that we can apply the whole machinery of Theorems 3.1 and 3.2 to our shearlet group setting if we can prove that  $\|\operatorname{osc}_U\|_{L_{1,w}(\mathbb{S})}$  becomes arbitrarily small for a sufficiently small neighborhood U of  $e = (1,0,0) \in \mathbb{S}$ .

**Theorem 4.4.** Let  $\psi$  be a Schwartz function with supp  $\hat{\psi} \subseteq ([-a_1, -a_0] \cup [a_0, a_1]) \times [-b, b]$ . Then, for every  $\varepsilon > 0$ , there exists a sufficiently small neighborhood U of e so that

$$\|\operatorname{osc}_{U}\|_{L_{1,w}(\mathbb{S})} \le \varepsilon. \tag{24}$$

*Proof.* By Theorem 4.2 we have that  $\mathcal{SH}_{\psi}(\psi) \in L_{1,w}(\mathbb{S})$ . Moreover, it is easy to check that  $\mathcal{SH}_{\psi}(\psi)$  is continuous on  $\mathbb{S}$ . Thus, by Lemma 3.3, it remains to show that  $\operatorname{osc}_U \in L_{1,w}$  for some compact neighborhood of e. By definition of  $\operatorname{osc}_U$  and Parseval's identity we have that

$$\begin{aligned}
\operatorname{osc}_{U}(a, s, t) &= \sup_{(\alpha, \beta, \gamma) \in U} \left| \langle \hat{\psi}, \hat{\psi}_{a, s, t} \rangle - \langle \hat{\psi}, \hat{\psi}_{(\alpha, \beta, \gamma)(a, s, t)} \rangle \right| \\
&= \sup_{(\alpha, \beta, \gamma) \in U} \left| |a|^{\frac{3}{4}} \mathcal{F}\left( \hat{\psi}(A_{a} S_{s}^{\mathrm{T}} \cdot) \overline{\hat{\psi}} \right)(t) - |a\alpha|^{\frac{3}{4}} \mathcal{F}\left( \hat{\psi}(A_{a\alpha} S_{\beta + s\sqrt{\alpha}}^{\mathrm{T}} \cdot) \overline{\hat{\psi}} \right)(\gamma + S_{\beta} A_{\alpha} t) \right|,
\end{aligned}$$

where we can assume that  $\alpha > 0$ . By Lemma 4.1, we see that for  $(\alpha, \beta)$  in a sufficiently small neighborhood of (1,0), the function  $\hat{\psi}(A_{a\alpha}S_{\beta+s\sqrt{\alpha}}^{\mathrm{T}})\bar{\hat{\psi}}$  becomes zero except for values a contained in two finite intervals away from zero and values s in a finite interval. Thus, it remains to show that  $\int_{\mathbb{R}^2} \operatorname{osc}_U(a,s,t) dt \leq C(a,s)$  with a finite constant C(a,s). We split the integral into three parts

$$\int_{\mathbb{R}^2} \operatorname{osc}_U(a, s, t) \, dt = |a|^{\frac{3}{4}} (I_1 + I_2 + I_3),$$

where

$$\begin{split} I_1 &:= \int_{\mathbb{R}^2} \sup_{(\alpha,\beta,\gamma) \in U} \left| |1 - \alpha^{\frac{3}{4}}| \mathcal{F}\left(\hat{\psi}(A_a S_s^{\mathrm{T}} \cdot) \bar{\hat{\psi}}\right)(t) \, dt \\ I_2 &:= \int_{\mathbb{R}^2} \sup_{(\alpha,\beta,\gamma) \in U} \alpha^{\frac{3}{4}} \left| \mathcal{F}\left(\hat{\psi}(A_a S_s^{\mathrm{T}} \cdot) \bar{\hat{\psi}}\right)(t) - \mathcal{F}\left(\hat{\psi}(A_a S_s^{\mathrm{T}} \cdot) \bar{\hat{\psi}}\right)(\gamma + S_{\beta} A_{\alpha} t) \right| \, dt \\ I_3 &:= \int_{\mathbb{R}^2} \sup_{(\alpha,\beta,\gamma) \in U} \alpha^{\frac{3}{4}} \left| \mathcal{F}\left(\hat{\psi}(A_a S_s^{\mathrm{T}} \cdot) \bar{\hat{\psi}}\right)(\gamma + S_{\beta} A_{\alpha} t) - \mathcal{F}\left(\hat{\psi}(A_{a\alpha} S_{\beta + s\sqrt{\alpha}}^{\mathrm{T}} \cdot) \bar{\hat{\psi}}\right)(\gamma + S_{\beta} A_{\alpha} t) \right| \, dt. \end{split}$$

- 1. Concerning  $I_1$ , we see that for every  $\varepsilon > 0$  there exists  $\alpha$  near 1 such that  $|1 \alpha^{\frac{3}{4}}| \leq \varepsilon$ . Since  $\hat{\psi} \in \mathcal{S}$ , we have that  $\hat{\psi}(A_a S_s^{\mathrm{T}} \cdot) \bar{\psi} \in \mathcal{S}$  so that  $\mathcal{F}\left(\hat{\psi}(A_a S_s^{\mathrm{T}} \cdot) \bar{\psi}\right) \in S$  for any (a, s). Consequently,  $I_1 \leq \varepsilon C(a, s)$  with a finite constant C(a, s).
- 2. Concerning  $I_2$ , we consider

$$G_{a,s}(t) = G(t) = \mathcal{F}\left(\hat{\psi}(A_a S_s^{\mathrm{T}} \cdot)\hat{\bar{\psi}}\right)(t) \in \mathcal{S}.$$

By Taylor expansion we obtain

$$|G(\gamma + S_{\beta}A_{\alpha}t) - G(t)| = |\nabla G(t + \theta(\gamma + S_{\beta}A_{\alpha}t - t))(\gamma + S_{\beta}A_{\alpha}t - t)|$$

$$\leq ||\nabla G(t + \theta(S_{\beta}A_{\alpha}t - t + \gamma))|| ||S_{\beta}A_{\alpha}t - t + \gamma||$$

$$\leq ||\nabla G(t + \theta(S_{\beta}A_{\alpha}t - t + \gamma))|| (||S_{\beta}A_{\alpha} - I|| ||t|| + ||\gamma||),$$

where  $\theta \in [0,1)$ . For any  $\varepsilon > 0$ , there exists a sufficiently small neighborhood U of e such that  $||S_{\beta}A_{\alpha} - I|| < \varepsilon$  and  $||\gamma|| \le \varepsilon$  for all  $(\alpha, \beta, \gamma) \in U$ . On the other hand, we have for  $t = (t_1, t_2)$  that

$$G_{1}(t) := \frac{\partial}{\partial t_{1}} G(t) = \frac{\partial}{\partial t_{1}} \mathcal{F}\left(\hat{\psi}(A_{a}S_{s}^{\mathrm{T}} \cdot) \bar{\psi}\right)(t)$$

$$= \mathcal{F}\left(-2\pi i \omega_{1} \hat{\psi}(A_{a}S_{s}^{\mathrm{T}} \cdot) \bar{\psi}\right)(t) = \mathcal{F}\left(\hat{\psi}(A_{a}S_{s}^{\mathrm{T}} \cdot) \overline{\partial_{1} \hat{\psi}}\right)(t).$$

Since  $\psi \in \mathcal{S}$ , we obtain that  $\frac{\partial}{\partial t_1}G \in \mathcal{S}$  and similarly that  $\frac{\partial}{\partial t_2}G \in \mathcal{S}$ . Thus, since  $\|(G_1, G_2)\| \le |G_1| + |G_2|$ , we conclude that

$$I_{2} \leq \varepsilon \int_{\mathbb{R}^{2}} \sup_{(\alpha,\beta,\gamma) \in U} \alpha^{\frac{3}{4}} \left( \left| G_{1} \left( t + \theta (S_{\beta} A_{\alpha} t - t + \gamma) \right) \right| + \left| G_{2} \left( t + \theta (S_{\beta} A_{\alpha} t - t + \gamma) \right) \right| \right) \left( \left\| t \right\| + 1 \right) dt$$

Now  $G_i \in \mathcal{S}$ , i = 1, 2 implies for all m > 0 and sufficiently small  $\gamma$  that

$$|G_{i}(t + \theta(S_{\beta}A_{\alpha}t - t + \gamma))| \leq C_{i}(a, s) (1 + ||t + \theta(S_{\beta}A_{\alpha}t - t + \gamma)||^{2})^{-m}$$
  
$$\leq \tilde{C}_{i}(a, s) (1 + ||t + \theta(S_{\beta}A_{\alpha}t - t)||^{2})^{-m}.$$

To show that  $I_2 \leq \varepsilon C(a, s)$ , we have to prove that

$$\sup_{(\alpha,\beta,0)\in U} (1 + \|t + \theta(S_{\beta}A_{\alpha}t - t)\|^2)^{-1} \le \tilde{C}(a,s) (1 + \|t\|^2)^{-1}.$$

Straightforward computation gives

$$||t + \theta(S_{\beta}A_{\alpha}t - t)||^2 = (pt_1 + q\beta t_2)^2 + r^2 t_2^2,$$

where  $p:=1-\theta(1-\alpha), q:=\theta\sqrt{\alpha}$  and  $r:=1-\theta(1-\sqrt{\alpha}),$  hence it remains to show that

$$(1 + (pt_1 + q\beta t_2)^2 + r^2 t_2^2)^{-1} \le C(1 + t_1^2 + t_2^2)^{-1}$$

for all  $(\alpha, \beta, 0) \in U$ . The function  $g(\beta) := (pt_1 + q\beta t_2)^2 + r^2t_2^2$  has its minimum at  $\beta = -\frac{pt_1}{qt_2}$ . Let U be chosen such that  $|\beta| \leq \beta_0$  with some fixed sufficiently small  $\beta_0$ . If  $\left|\frac{pt_1}{qt_2}\right| \leq \beta_0$ , i.e.,  $p^2t_1^2/(q^2\beta_0^2) \leq t_2^2$ , then

$$\frac{1}{1 + (pt_1 + q\beta t_2)^2 + r^2 t_2^2} \le \frac{1}{1 + r^2 t_2^2} \le \frac{1}{\min\{1, r^2 p^2 / (2q^2 \beta_0^2), r^2 / 2\}} \frac{1}{1 + t_1^2 + t_2^2}.$$

If  $\left|\frac{pt_1}{qt_2}\right| > \beta_0$  and  $t_1, t_2$  have the same sign, we see that

$$\frac{1}{1 + (pt_1 + q\beta t_2)^2 + r^2 t_2^2} \le \frac{1}{1 + (pt_1 - q\beta_0 t_2)^2 + r^2 t_2^2}.$$

Set  $y := pt_1 - q\beta_0t_2$  and note that y has the same sign as  $t_1$  and  $t_2$ . Then  $t_1 = (y + q\beta_0t_2)/p$  and we have to show that

$$\frac{1}{1+y^2+r^2t_2^2} \le \frac{C}{1+(y+q\beta_0t_2)^2/p^2+t_2^2}.$$

Now  $2yq\beta_0 t_2 \le y^2 + q^2\beta_0^2 t_2^2$  so that

$$1 + (y + q\beta_0 t_2)^2/p^2 + t_2^2 \le 1 + 2y^2/p^2 + (2q^2\beta_0^2/p^2 + 1)t_2^2$$
  
$$\le \max\{1, 2/p^2, (1 + 2q^2\beta_0^2/p^2)/r^2\} (1 + y^2 + r^2t_2^2).$$

The case  $\left|\frac{pt_1}{qt_2}\right| > \beta_0$  and  $t_1, t_2$  having different signs can be treated in a similar way and we are done.

3. Concerning  $I_3$ , we have that

$$I_{3} = \int_{\mathbb{R}^{2}} \sup_{(\alpha,\beta,\gamma) \in U} \alpha^{\frac{3}{4}} \Big| \int_{\mathbb{R}^{2}} \left( \hat{\psi}(A_{a}S_{s}^{\mathrm{T}}\omega) - \hat{\psi}(A_{a\alpha}S_{\beta+s\sqrt{\alpha}}^{\mathrm{T}}\omega) \right) \, \bar{\hat{\psi}}(\omega) \, e^{-2\pi i \omega(\gamma+S_{\beta}A_{\alpha}t)} \, d\omega \Big| \, dt.$$

Using the Short Time Fourier Transform defined by

$$G_{\psi}f(x,\omega) = \int_{\mathbb{D}^2} f(t)\bar{\psi}(t-x)e^{-2\pi i\omega t} dt,$$

this can be rewritten as

$$I_3 = \int_{\mathbb{R}^2} \sup_{(\alpha, \beta, \gamma) \in U} \alpha^{\frac{3}{4}} \left| G_{\hat{\psi}(A_a S_s^{\mathrm{T}} \cdot) - \hat{\psi}(A_a \alpha S_{\beta + s\sqrt{\alpha}}^{\mathrm{T}} \cdot)} \hat{\psi}(0, \gamma + S_{\beta} A_{\alpha} t) \right| dt$$

and since  $G_{\psi}f(x,\omega)=e^{-2\pi i\omega x}G_{\hat{\psi}}\hat{f}(\omega,-x)$  further as

$$I_{3} = \int_{\mathbb{R}^{2}} \sup_{(\alpha,\beta,\gamma)\in U} \alpha^{\frac{3}{4}} \left| G_{\psi(A_{a}^{-1}S_{s}^{-1}\cdot) - \psi(A_{a\alpha}^{-1}S_{\beta+s\sqrt{\alpha}}^{-1}\cdot)} \psi(\gamma + S_{\beta}A_{\alpha}t, 0) \right| dt.$$

By [16, p. 232], we have for  $\psi \in S$  and  $|f(x)| \le C(1 + ||x||)^{-s}$ , s > 2 that

$$|G_{\psi}f(x,\omega)| \le C||f||_{L_{\infty,m_s}}||\psi||_{L_{\infty,m_s}}(1+||x||)^{-s},$$

where  $m_s(x) = (1 + ||x||)^s$  and  $||\psi||_{L_{\infty,m_s}} = \text{ess } \sup_{x \in \mathbb{R}^2} |\psi(x)|(1 + ||x||)^s$ . Thus, since  $\psi \in \mathcal{S}$ ,

$$I_{3} \leq C \|\psi\|_{L_{\infty,m_{s}}} \sup_{(\alpha,\beta,0)\in U} \|\psi(A_{a}^{-1}S_{s}^{-1}\cdot) - \psi(A_{a\alpha}^{-1}S_{\beta+s\sqrt{\alpha}}^{-1}\cdot)\|_{L_{\infty,m_{s}}}$$

$$\times \int_{\mathbb{R}^{2}} \sup_{(\alpha,\beta,\gamma)\in U} (1 + \|\gamma + S_{\beta}A_{\alpha}t\|)^{-s} dt \leq C(a,s).$$

This completes the proof.

4.3. Embedding of Schwartz Spaces. Let  $S_0$  denote the space of Schwartz-functions with the property that

$$|\hat{f}(\omega)| \le \frac{\omega_1^{2\alpha}}{(1+\|\omega\|^2)^{2\alpha}}$$
 for all  $\alpha > 0$ .

The functions in  $S_0$  vanish of infinite order in  $\omega_1 = 0$ . In this subsection, we want to show that the space  $S_0$  is contained in our coorbit shearlet spaces  $SC_{p,w}$ . To this end, we need the following two preliminary lemmas. The proof of the first lemma uses ideas of [19].

**Lemma 4.5.** For  $\alpha > 1$ , let  $|f(x)| \leq \frac{C}{(1+||x||^2)^{\alpha}}$  and  $|\psi(x)| \leq \frac{C}{(1+||x||^2)^{\alpha}}$ . Then the shearlet transform fulfils

$$|\mathcal{SH}_{\psi}f(a,s,t)| \le C|a|^{-3/4} \frac{\max\{1,d^2\}}{\left(1 + \|\frac{t}{\max\{1,d\}}\|^2\right)^{\alpha - 1/2}},$$

where  $d^2 := (s^2 + 2) \max\{a^2, |a|\}.$ 

*Proof.* We restrict our attention to the case a > 0. By assumption on f and  $\psi$  we have that

$$|\mathcal{SH}_{\psi}f(a,s,t)| \le Ca^{-3/4} \int_{\mathbb{R}^2} \frac{1}{(1+\|x\|^2)^{\alpha}} \; \frac{1}{1+\|A_a^{-1}S_s^{-1}(x-t)\|^2)^{\alpha}} \, dx$$

and since  $||A_a^{-1}S_s^{-1}(x-t)|| \ge \frac{||x-t||}{||A_a||||S_s||}$  further that

$$|\mathcal{SH}_{\psi}f(a,s,t)| \le Ca^{-3/4} \int_{\mathbb{R}^2} \frac{1}{(1+\|x\|^2)^{\alpha}} \frac{1}{\left(1+\frac{\|x-t\|^2}{\|A_a\|^2 \|S_s\|^2}\right)^{\alpha}} dx.$$

Using that  $||A_a||^2 = \max\{a, a^2\}$  and  $||S_s||^2 = \rho(S_s^T S_s) = \rho\left(\begin{pmatrix} 1 & s \\ s & s^2 + 1 \end{pmatrix}\right) \le s^2 + 2$  this can be estimated as

$$|\mathcal{SH}_{\psi}f(a,s,t)| \le Ca^{-3/4} \int_{\mathbb{R}^2} \frac{1}{(1+\|x\|^2)^{\alpha}} \frac{1}{\left(1+\frac{\|x-t\|^2}{d^2}\right)^{\alpha}} dx.$$

We consider

$$F(t,d) := \int_{\mathbb{R}^2} \frac{1}{(1+\|x\|^2)^{\alpha}} \frac{1}{\left(1+\frac{\|x-t\|^2}{d^2}\right)^{\alpha}} dx.$$

We show that for  $d \leq 1$  the estimate

$$F(t,d) \le \frac{C}{(1+||t||^2)^{\alpha-1/2}} \tag{25}$$

holds true. Since

$$F\left(\frac{t}{d}, \frac{1}{d}\right) = \frac{1}{d^2}F(t, d),$$

this implies for d > 1 that

$$|F(t,d)| = d^2 \left| F\left(-\frac{t}{d}, \frac{1}{d}\right) \right| \le d^2 \frac{C}{(1 + \|\frac{t}{d}\|^2)^{\alpha - 1/2}}$$

and we are done.

It remains to prove (25) for  $d \le 1$ . For ||t|| < 1 we only have to show that F(t, d) is bounded independently of d which is trivial. In the following let  $||t|| \ge 1$ . We split the integral as follows:

$$F(t,d) = \left(\int_{\Omega_1} + \int_{\Omega_2} + \int_{\Omega_{31}} + \int_{\Omega_{32}} \right) \frac{1}{(1+\|x\|^2)^{\alpha}} \frac{1}{1+\|\frac{x-t}{d}\|^2} dx = I_1 + I_2 + I_{31} + I_{32}$$

where  $\Omega_1 := \{x \in \mathbb{R}^2 : t(x - \frac{t}{2}) > 0 \text{ componentwise}\}, \ \Omega_2 := \{x \in \mathbb{R}^2 : t(x - \frac{t}{2}) \le 0 \text{ componentwise}\}$ and  $\Omega_{3i} := \{x \in \mathbb{R}^2 : t_i(x_i - \frac{t_i}{2}) > 0 \text{ and } t_j(x_j - \frac{t_j}{2}) \le 0, j \ne i\}, \ i = 1, 2.$ 

**Estimation of**  $I_1$ : In  $\Omega_1$  we have that  $|x_i| \geq \frac{|t_i|}{2}$  and thus  $||x||^2 \geq \frac{1}{4}||t||^2$ . Consequently, we get

$$I_{1} \leq \int_{\Omega_{1}} \frac{1}{(1 + \frac{1}{4} \|t\|^{2})^{\alpha}} \frac{1}{(1 + \|\frac{x-t}{d}\|^{2})^{\alpha}} dx \leq C \frac{1}{(1 + \|t\|^{2})^{\alpha}} \int_{\Omega_{1}} \frac{1}{(1 + \|\frac{x-t}{d}\|^{2})^{\alpha}} dx$$
  
$$\leq C d^{2} \frac{1}{(1 + \|t\|^{2})^{\alpha}} \int \frac{1}{(1 + \|y\|^{2})^{\alpha}} dy \leq C \frac{1}{(1 + \|t\|^{2})^{\alpha}}.$$

Estimation of  $I_2$ : In  $\Omega_2$ , it holds  $|x_i - t_i| \ge \frac{|t_i|}{2}$ , i.e.,  $||x - t||^2 \ge ||t||^2/4$  and thus

$$I_2 \le \int_{\Omega_2} \frac{1}{(1+\|x\|^2)^{\alpha}} \frac{1}{(1+\|\frac{t}{2d}\|^2)^{\alpha}} dx.$$

Using that

$$\frac{1}{(1+\|\frac{t}{2d}\|)^{\alpha}} = \left(\frac{1+\|t\|^2}{1+\frac{\|t\|^2}{4d^2}}\right)^{\alpha} \frac{1}{(1+\|t\|^2)^{\alpha}} \le C \frac{1}{(1+\|t\|^2)^{\alpha}}$$

we obtain the estimate for  $I_2$ .

Estimation of  $I_{31}$ . By assumption we have as in the previous cases that

$$I_{32} \leq \int_{\mathbb{R}} \int_{\mathbb{R}} \frac{1}{\left(1 + \frac{t_1^2}{4} + x_2^2\right)^{\alpha}} \frac{1}{\left(1 + \left(\frac{x_1 - t_1}{d}\right)^2 + \frac{t_2^2}{4d^2}\right)^{\alpha}} dx_1 dx_2$$

$$= \frac{1}{\left(1 + \frac{t_1^2}{4}\right)^{\alpha} \left(1 + \frac{t_2^2}{4d^2}\right)^{\alpha}} \int_{\mathbb{R}} \int_{\mathbb{R}} \frac{1}{\left(1 + \frac{x_2^2}{1 + \frac{t_1^2}{4}}\right)^{\alpha}} \frac{1}{\left(1 + \frac{(x_1 - t_1)^2}{d^2 \left(1 + \frac{t_2^2}{4d^2}\right)}\right)^{\alpha}} dx_1 dx_2$$

$$\leq \frac{C}{(1 + t_1^2)^{\alpha} (1 + t_2^2)^{\alpha}} \cdot d\sqrt{1 + \frac{t_2^2}{4d^2}} \sqrt{1 + \frac{t_1^2}{4}} \int_{\mathbb{R}} \frac{1}{(1 + y_2^2)^{\alpha}} dy_2 \int_{\mathbb{R}} \frac{1}{(1 + y_1^2)^{\alpha}} dy_1$$

$$\leq \frac{C}{(1 + t_1^2)^{\alpha - 1/2} (1 + t_2^2)^{\alpha - 1/2}} \leq \frac{C}{(1 + ||t||^2)^{\alpha - 1/2}}.$$

Analogously we can obtain the estimate for  $I_{32}$ . This finishes the proof.

Another estimate of the shearlet transform is given by the following lemma.

**Lemma 4.6.** Let supp  $\hat{\psi} \in ([-a_1, -a_0] \cup [a_0, a_1]) \times [-b, b]$ , where  $0 < a_0 < a_1$  and b > 0 with bounded  $\hat{\psi}$  and let  $\hat{f}$  fulfill

$$|\hat{f}(\omega)| \le \frac{\omega_1^{2\alpha}}{(1+\|\omega\|^2)^{2\alpha}}, \quad \alpha > 0.$$

Then the following estimate holds true:

$$|\mathcal{SH}_{\psi}f(a,s,t)| \le C|a|^{-\frac{3}{4}} \frac{|a|^{\alpha}}{(1+a^2)^{\alpha}} \frac{1}{(1+|s|)^{\alpha}}.$$

*Proof.* Again, let a > 0. By definition of the shearlet transform (7), Parseval's equality and (4) we obtain

$$|\mathcal{SH}_{\psi}f(a,s,t)| \leq \int_{\mathbb{R}^{2}} |\hat{f}(\omega)| |\hat{\psi}(A_{a}S_{s}^{T}\omega)| a^{3/4}d\omega$$

$$\leq a^{-3/4} \left( \int_{a_{0}}^{a_{1}} + \int_{-a_{1}}^{-a_{0}} \right) \int_{-b}^{b} |\hat{f}(S_{s}^{-T}A_{a}^{-1}v)| |\hat{\psi}(v)| dv$$

$$\leq a^{-3/4} \left( \int_{a_{0}}^{a_{1}} + \int_{-a_{1}}^{-a_{0}} \right) \int_{-b}^{b} |\hat{f}(S_{s}^{-T}A_{a}^{-1}v)| dv.$$

We restrict our attention to the integral

$$I = \int_{a_0}^{a_1} \int_{-b}^{b} \frac{\left(\frac{v_1}{a}\right)^{2\alpha}}{\left(1 + \left(\frac{v_1}{a}\right)^2 + \left(\frac{v_2}{\sqrt{a}} - s\frac{v_1}{a}\right)^2\right)^{2\alpha}} dv_2 dv_1$$
$$= \int_{a_0}^{a_1} \int_{-b}^{b} \frac{v_1^{2\alpha}}{\left(a + \frac{v_1^2}{a} + \left(v_2 - \frac{s}{\sqrt{a}}v_1\right)^2\right)^{2\alpha}} dv_2 dv_1.$$

The estimation for the other integral follows analogously.

For  $|s| \leq 1$  we have

$$I \leq C \frac{a_1^{2\alpha}}{\left(a + \frac{a_0^2}{a}\right)^{2\alpha}} \leq C \frac{a^{2\alpha}}{(1 + a^2)^{2\alpha}} \leq C \frac{a^{2\alpha}}{(1 + a^2)^{2\alpha}(1 + |s|)^{\alpha}} \leq C \frac{a^{\alpha}}{(1 + a^2)^{\alpha}} \frac{1}{(1 + |s|)^{\alpha}}.$$

In the following, let |s| > 1. Set  $c := \frac{s}{\sqrt{a}}$ .

Case 1. For  $|c| \leq \frac{2b}{a_0} = C_1$ , i.e.,  $1 < |s| \leq C_1 \sqrt{a}$ , we can estimate

$$I \ \leq \ C \frac{a_1^{2\alpha}}{(a + \frac{a_0^2}{a})^{2\alpha}} \ \leq \ C \frac{1}{a^{2\alpha}(1 + \frac{a_0}{a^2})^{2\alpha}} \leq C \frac{1}{a^{2\alpha}} \ \leq \ C \frac{1}{a^{2\alpha}} \frac{1}{(1 + |s|)^{\alpha}} \ \leq \ C \frac{a^{\alpha}}{(1 + a^2)^{\alpha}} \frac{1}{(1 + |s|)^{\alpha}} \,.$$

Case 2. For  $|c| > C_1$ , i.e.,  $|s| > C_1 \sqrt{a}$  we obtain

$$I \le C \frac{a_1^{2\alpha}}{(a + \frac{a_0^2}{a} + (b - |c|a_0)^2)^{2\alpha}} = C \left(\frac{a}{s^2}\right)^{2\alpha} \frac{1}{\left(\frac{a^2}{s^2} + \frac{a_0^2}{s^2} + \left(\frac{b}{|c|} - a_0\right)^2\right)^{2\alpha}}.$$

If  $a \leq 1$ , then

$$I \le \frac{a^{2\alpha}}{(1+a^2)^{2\alpha}} \, \frac{1}{(1+s^2)^{2\alpha}} \le C \frac{a^{\alpha}}{(1+a^2)^{\alpha}} \, \frac{1}{(1+|s|)^{\alpha}} \, .$$

Let a > 1. If  $\frac{a^2}{s^2} \le 1$ , i.e.,  $a^2 \le s^2$ , then we obtain

$$I \le C \frac{a^{2\alpha}}{s^{2\alpha}s^{2\alpha}} \le C \frac{1}{s^{2\alpha}} \le \frac{1}{|s|^{\alpha}} \frac{1}{a^{\alpha}} \le C \frac{1}{(1+|s|)^{\alpha}} \frac{a^{\alpha}}{(1+a^2)^{\alpha}}.$$

If  $\frac{a^2}{s^2} > 1$ , then  $a^2 > s^2 > 1$  and we can estimate

$$I \leq C \frac{1}{a^{2\alpha}} \ \frac{1}{\left(1 + \frac{a_0^2}{a^2} + (\frac{b}{|c|} - a_0)^2 \ \frac{s^2}{a^2}\right)^{2\alpha}} \leq C \frac{1}{a^{\alpha}} \ \frac{1}{|s|^{\alpha}} \leq C \frac{a^{\alpha}}{(1 + a^2)^{\alpha}} \ \frac{1}{(1 + |s|)^{\alpha}} \, .$$

This completes the proof.

By Lemma 4.5 and 4.6 we obtain the following theorem.

**Theorem 4.7.** Let  $\psi$  be a Schwartz-function with supp  $\hat{\psi} \subseteq ([-a_1, -a_0] \cup [a_0, a_1]) \times [-b, b]$  where  $0 < a_0 < a_1$  and b > 0. Then we have for any Schwartz-function  $f \in \mathcal{S}_0$  that

$$|\mathcal{SH}_{\psi}f(a,s,t)| \le C|a|^{-3/4} \frac{\max\{1,d\}}{\left(1 + \left\|\frac{t}{\max\{1,d\}}\right\|^2\right)^{\alpha - 1/4}} \frac{|a|^{\alpha}}{(1 + a^2)^{\alpha}(1 + |s|)^{\alpha}} \quad \text{for all } \alpha > 1,$$

where  $d^2 := (s^2 + 2) \max\{a^2, |a|\}$  As a consequence we obtain that  $S_0 \subset \mathcal{SC}_{p,w}$  for  $w(a, s, t) = w(a, s) = (\frac{1}{|a|} + |a|)^m (\frac{1}{|a|} + |a| + |s|)^n$ , m, n > 0.

*Proof.* By Lemma 4.5 and 4.6 we verify with  $2\alpha$  instead of  $\alpha$  that

$$|\mathcal{SH}_{\psi}f(a,s,t)|^{2} \leq C|a|^{-3/4} \frac{\max\{1,d^{2}\}}{\left(1+\|\frac{t}{\max\{1,d\}}\|^{2}\right)^{2\alpha-1/2}} |a|^{-3/4} \frac{|a|^{2\alpha}}{(1+a^{2})^{2\alpha}(1+|s|)^{2\alpha}},$$

$$|\mathcal{SH}_{\psi}f(a,s,t)| \leq C|a|^{-3/4} \frac{\max\{1,d\}}{\left(1+\|\frac{t}{\max\{1,d\}}\|^{2}\right)^{\alpha-1/4}} \frac{|a|^{\alpha}}{(1+a^{2})^{\alpha}(1+|s|)^{\alpha}}.$$

Finally, we conclude for sufficiently large  $\alpha$  that

$$\int_{\mathbb{S}} |\mathcal{S}\mathcal{H}_{\psi}f(a,s,t)|^{p} \left(\frac{1}{|a|} + |a|\right)^{mp} \left(\frac{1}{|a|} + |a| + |s|\right)^{np} dt ds \frac{da}{|a|^{3}}$$

$$\leq C \int_{\mathbb{R}^{*}} \int_{\mathbb{R}} \int_{\mathbb{R}^{2}} \frac{\max\{1,d\}^{p}}{\left(1 + \|\frac{t}{\max\{1,d\}}\|^{2}\right)^{p(\alpha-1/4)}} dt \frac{|a|^{-\frac{3p}{4}}|a|^{p\alpha}}{(1+a^{2})^{p\alpha}(1+|s|)^{p\alpha}} \left(\frac{1}{|a|} + |a|\right)^{mp} \cdot 2^{np} \left(\frac{1}{|a|} + |a|\right)^{np} (1+|s|)^{np} ds \frac{da}{|a|^{3}}$$

$$\leq C' \int_{\mathbb{R}^{*}} \int_{\mathbb{R}} \frac{|a|^{p(\alpha-\frac{3}{4})-3} \max\{1,d^{p+2}\}}{(1+a^{2})^{p\alpha}(1+|s|)^{p\alpha}} \left(\frac{1+a^{2}}{|a|}\right)^{(m+n)p} (1+|s|)^{np} ds da$$

$$\cdot \int_{\mathbb{R}^{2}} \frac{1}{(1+\|y\|^{2})^{p(\alpha-\frac{1}{4})}} dy$$

$$\leq \infty.$$

where we used that  $\frac{1}{|a|} + |a|, 1 + |s| \ge 1$  and hence  $\left(\frac{1}{|a|} + |a| + 1 + |s|\right)^n \le 2^n \left(\frac{1}{|a|} + |a|\right)^n (1 + |s|)^n$ . This finishes the proof.

The weight function we chose in Theorem 4.7 indeed satisfies  $w(gh) \leq w(g)w(h)$  and  $w(g) \geq 1$  for all  $g, h \in \mathbb{S}$  as it is necessary for the coorbit theory to apply (cf. Section 3.1). Since the proof of these properties is straightforward, we omit presenting it.

Certainly, the choice of the weight function in Theorem 4.7 can be modified in various ways. The only conditions to satisfy are the above mentioned submultiplicativity and the property of being greater or equal to one in addition to the finiteness of the norm  $\|\mathcal{SH}_{\psi}f\|_{L_{p,w}}$ . We would further like to remark that in order to obtain a weight function which for s=0 behaves similar as the weight function associated with Besov spaces, it seems natural to choose  $w(a,s,t)=w(a,s)=|a|^{-m}(\frac{1}{|a|}+|a|+|s|)^n$ . This weight function is again submultiplicative with respect to the group multiplication of  $\mathbb{S}$ . However, the condition  $w(g) \geq 1$  for all  $g \in \mathbb{S}$  is violated. But recalling that it was shown in [15] that Besov spaces with weights  $|a|^{-m}$  are the associated smoothness spaces for

the wavelet transform based on the affine group by using coorbit theory with a slight modification, such a "Besov space like" weight function should also be feasible in our case.

4.4. Non-Linear Approximation. In Section 4.2 we established atomic decompositions of functions from the shearlet coorbit spaces  $\mathcal{SC}_{p,w}$  by means of special discretized shearlet systems  $(\psi_{\lambda})_{\lambda \in \Lambda}$ ,  $\Lambda \subset \mathbb{S}$ . From the computational point of view, this naturally leads us to the question of the quality of approximating schemes in  $\mathcal{SC}_{p,w}$  using only a finite number of elements from  $(\psi_{\lambda})_{\lambda \in \Lambda}$ .

In this section we will focus on the non-linear approximation scheme of best N-term approximation, i.e., of approximating functions f of  $\mathcal{SC}_{p,w}$  in an "optimal" way by a linear combination of precisely N elements from  $(\psi_{\lambda})_{{\lambda}\in\Lambda}$ . In order to study the quality of best N-term approximation we will prove estimates for the asymptotic behavior of the approximation error.

Let us now delve more into the specific setting we are considering here. Let U be a neighborhood of e in  $\mathbb{S}$  satisfying condition (24) for  $\varepsilon < 1$ . Further, let  $\Lambda \subset \mathbb{S}$  be a relatively separated, U-dense sequence, which exists by Proposition 4.3. Then the associated shearlet system

$$\{\psi_{\lambda} = \psi_{a.s.t} : \lambda = (a, s, t) \in \Lambda\}$$
(26)

can be employed for atomic decompositions of elements from  $\mathcal{SC}_{p,w}$ , where  $1 \leq p < \infty$ . Indeed, by Theorems 3.1 and 3.2, for any  $f \in \mathcal{SC}_{p,w}$ , we have

$$f = \sum_{\lambda \in \Lambda} c_{\lambda} \psi_{\lambda} \tag{27}$$

with  $(c_{\lambda})_{{\lambda}\in\Lambda}$  depending linearly on f, and

$$C_{1,p} \|f\|_{\mathcal{SC}_{n,w}} \le \|(c_{\lambda})_{\lambda \in \Lambda}\|_{\ell_{n,w}} \le C_{2,p} \|f\|_{\mathcal{SC}_{n,w}}$$
(28)

with constants  $C_{1,p}$ ,  $C_{2,p}$  being independent of f. We intend to approximate functions f from the shearlet coorbit spaces  $\mathcal{SC}_{p,w}$  by elements from the nonlinear manifolds  $\Sigma_n$ ,  $n \in \mathbb{N}$ , which consist of all functions  $S \in \mathcal{SC}_{p,w}$  whose expansions with respect to the shearlet system  $(\psi_{\lambda})_{\lambda \in \Lambda}$  from (26) have at most n nonzero coefficients, i.e.,

$$\Sigma_n := \left\{ S \in \mathcal{SC}_{p,w} : S = \sum_{\lambda \in \Gamma} d_{\lambda} \psi_{\lambda}, \ \Gamma \subseteq \Lambda, \ \#\Gamma \le n \right\}.$$

Then we are interested in the asymptotic behavior of the error

$$E_n(f)_{\mathcal{SC}_{p,w}} := \inf_{S \in \Sigma_n} \|f - S\|_{\mathcal{SC}_{p,w}}.$$

Usually, the order of approximation which can be achieved depends on the regularity of the approximated function as measured in some associated smoothness space. For instance, for nonlinear wavelet approximation, the order of convergence is determined by the regularity as measured in a specific scale of Besov spaces. For nonlinear approximation based on Gabor frames, it has been shown in [17] that the 'right' smoothness spaces are given by a specific scale of modulation spaces. An extension of these relations to systems arising from the Weyl-Heisenberg group and  $\alpha$ -modulation spaces has been studied in [4].

In our case it turns out that a result from [17], i.e., an estimate in one direction, carries over. The basic ingredient in the proof of the theorem is the following lemma which has been shown in [17], see also [8].

**Lemma 4.8.** Let  $0 . Then there exists a constant <math>D_p > 0$  independent of q such that, for all decreasing sequences of positive numbers  $a = (a_i)_{i=1}^{\infty}$ , we have

$$2^{-1/p} \|a\|_{\ell_p} \le \left( \sum_{n=1}^{\infty} \frac{1}{n} (n^{1/p-1/q} E_{n,q}(a))^p \right)^{1/p} \le D_p \|a\|_{\ell_p},$$

where  $E_{n,q}(a) := (\sum_{i=n}^{\infty} a_i^q)^{1/q}$ .

Now one can prove the following theorem, which provides an upper estimate for the asymptotic behavior of  $E_n(f)_{\mathcal{SC}_{p,w}}$ .

**Theorem 4.9.** Let  $(\psi_{\lambda})_{{\lambda} \in \Lambda}$  be a discrete shearlet system as in (26), and let  $1 \leq p < q < \infty$ . Then there exists a constant  $C = C(p,q) < \infty$  such that, for all  $f \in \mathcal{SC}_{p,w}$ , we have

$$\left(\sum_{n=1}^{\infty} \frac{1}{n} \left(n^{1/p-1/q} E_n(f)_{\mathcal{SC}_{q,w}}\right)^p\right)^{1/p} \le C \|f\|_{\mathcal{SC}_{p,w}}.$$

*Proof.* Let  $\tau: \mathbb{N} \to \Lambda$  permutate the sequence  $(c_{\lambda}w_{\lambda})_{\lambda \in \Lambda}$  in (27) in a decreasing order, i.e.,  $|c_{\tau(n)}w_{\tau(n)}| \geq |c_{\tau(n+1)}w_{\tau(n+1)}|$  for all  $n \in \mathbb{N}$ . Then we obtain

$$E_n(f)_{\mathcal{SC}_{q,w}} \le \|\sum_{i=n+1}^{\infty} c_{\tau(i)} \psi_{\tau(i)}\|_{\mathcal{SC}_{q,w}}.$$

Applying (28) yields

$$E_n(f)_{\mathcal{SC}_{q,w}} \leq C_{1,q}^{-1} \left( \sum_{i=n+1}^{\infty} |c_{\tau(i)} w_{\tau(i)}|^q \right)^{1/q} = C_{1,q}^{-1} E_{n+1,q}(|c_{\tau(i)} w_{\tau(i)}|) \leq C_{1,q}^{-1} E_{n,q}(|c_{\tau(i)} w_{\tau(i)}|).$$

Finally, by Lemma 4.8 and (28),

$$\left(\sum_{n=1}^{\infty} \frac{1}{n} \left(n^{1/p-1/q} E_n(f)_{\mathcal{SC}_{q,w}}\right)^p\right)^{1/p} \leq \left(\sum_{n=1}^{\infty} \frac{1}{n} \left(n^{1/p-1/q} C_{1,q}^{-1} E_{n,q}(|c_{\tau(i)} w_{\tau(i)}|)\right)^p\right)^{1/p} \\
\leq C_{1,q}^{-1} D_p \|(|c_{\tau(i)}|)_{i=1}^{\infty} \|_{\ell_{p,w}} \\
\leq C_{1,q}^{-1} C_{2,p} D_p \|f\|_{\mathcal{SC}_{p,w}},$$

which finishes the proof.

# 5. Numerical Experiments

In Section 4, in which we have established the coorbit theory for the shearlet group, we have shown that a suitable discretization process produces atomic decompositions and frames in scales of shearlet coorbit spaces. This theoretical result shall be now verified in practice, i.e., we aim to derive an atomic decomposition by means of a shearlet system (for simplicity we abstain here from doing the same by means of a shearlet Banach frame). The basic ingredients are Proposition 4.3 providing a construction principle for the U-dense and relatively separated grid  $\Lambda$  and Theorem 4.4 in which we have shown that the essential condition,  $\| \operatorname{osc}_{U} \|_{L_{1,w}(\mathbb{S})} < 1$ , can indeed be fulfilled. Remember, this condition ensures that each function in the associated shearlet coorbit space has an atomic decomposition, i.e. for each  $f \in \mathcal{SC}_{p,w}$  there exists a sequence  $(c_{\lambda})_{\lambda \in \Lambda}$  such that  $f = \sum_{\lambda \in \Lambda} c_{\lambda} \psi_{\lambda}$  with  $\| f \|_{\mathcal{SC}_{p,w}} \sim \| c \|_{\ell_{p,w}(\Lambda)}$ .

For sake of simplicity we consider the case p=2 and  $w\equiv 1$ . In order to compute the shearlet decomposition of some given function/image f we introduce an operator F via  $c\mapsto Fc=\sum_{\lambda\in\Lambda}c_\lambda\psi_\lambda$ . Then finding the right sequence  $(c_\lambda)_{\lambda\in\Lambda}$  can be achieved by solving the inverse problem

$$f = Fc (29)$$

Since a direct inversion in (29) is impossible, we suggest to minimize the following cost functional

$$\Phi(c) = \|f - Fc\|_{\mathcal{SC}_2}^2 = \|V_{\psi}(f) - V_{\psi}(Fc)\|_{L_2(\mathbb{S})}^2 , \qquad (30)$$

where the necessary condition for a minimum of (30) is given by the normal equation

$$(V_{\psi}F)^*V_{\psi}Fc = (V_{\psi}F)^*V_{\psi}f , \qquad (31)$$

which can be solved iteratively. Since the system  $\{\psi_{\lambda}\}_{\lambda\in\Lambda}$  is usually an overcomplete shearlet system, one can find many different sequences c satisfying (31). A few of them have special properties for which they are preferred, e.g., a sequence with minimal  $\ell_2$  norm (often referred to as the generalized solution). A suitable iteration to approach a solution of (31) is the so-called Landweber iteration,

$$c^{m+1} = (I - \beta(V_{\psi}F)^*V_{\psi}F)c^m + \beta(V_{\psi}F)^*V_{\psi}f , \qquad (32)$$

with  $0 < \beta < 2/\|V_{\psi}F\|^2$ . If  $c^{\dagger}$  denotes the generalized solution of (29), then iteration (32) converges for arbitrarily chosen  $c^0$  and exact right hand side  $(V_{\psi}F)^*V_{\psi}f$  towards  $P_{\ker(F)}c^0+c^{\dagger}$ . If, in particular,  $c^0 \in \ker(F)^{\perp}$  (e.g.  $c^0 = 0$  or  $c^0 = (V_{\psi}F)^*V_{\psi}f$ ), then the iteration converges to  $c^{\dagger}$ .

As we have experienced, the grid  $\Lambda$  has for usual configurations (images of size 256x256 pixel and adequate choices of  $\alpha$ ,  $\beta$  and  $\gamma$ ) a remarkable complexity. Therefore it is reasonable to search for sequences c that are sparser than the minimum  $\ell_2$  norm solution. This may dramatically reduce the computational complexity for computing approximations to c. To this end, we consider a slightly modified variational functional that ensures sparsity on c,

$$\Phi_q(c) = \|f - Fc\|_{\mathcal{SC}_2}^2 + 2\rho \|c\|_{\ell_q} , \qquad (33)$$

with  $1 \le q \le 2$ . As it can be retrieved in [7], an iteration approaching the minimizer of (33) is again given by a Landweber iteration, but now a shrinkage operation is applied in each step,

$$c^{m+1} = S_{q,\rho\beta} \left( (I - \beta (V_{\psi}F)^* V_{\psi}F)c^m + \beta (V_{\psi}F)^* V_{\psi}f \right) , \tag{34}$$

where  $0 < \beta < ||V_{\psi}F||^{-2}$  and  $S_{q,\rho\beta}$  denotes the shrinkage operator with respect to the  $\ell_q$  norm and threshold  $\rho\beta$  (for details we refer to [7]).

As a test image for which we aim to derive a sparse atomic shearlet decomposition we choose the eye-image in Figure 1. The basic shearlet atom is defined in the Fourier domain by  $\hat{\psi}(\omega_1, \omega_2) = \phi_1(\omega_1)\phi_2(\omega_2)$ , where  $\phi_1$  is some smooth variant of Meyer's wavelet (for its construction see [6]) and  $\phi_2$  is the Gaussian. As the initial iterate  $c^0$  for iteration (34) we choose  $F^*f$ , where the computation of the coefficients  $\mathcal{SH}_{\psi}(f)(a,s,t) = \langle f, \psi_{a,s,t} \rangle$  is realized via the convolution theorem (for a detailed discussion we refer to [21]),

$$\mathcal{SH}_{\psi}(f)(a,s,\cdot)^{\wedge}(\omega) = \hat{f}(\omega)\hat{\psi}_{a,s,0}^{*}(\omega) . \tag{35}$$

The values of (35) for several dilations a and shear values s are visualized in Figures 2 and 3 (note that  $c^0$  is just a subset). The directional selectivity of the shearlet transform can clearly be recognized. As the final result, we present in Figure 4 several iterates  $Fc^m$ .



FIGURE 1. Original function/image f.

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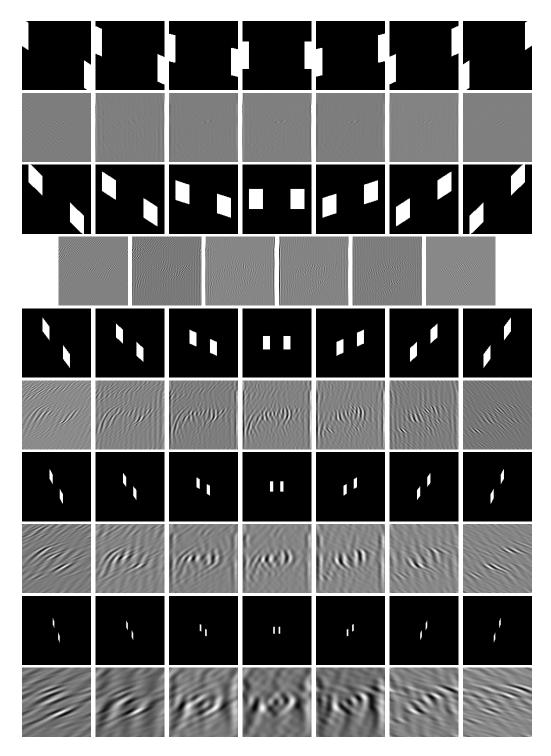


FIGURE 2. Shearlet coefficients for different values of a and s.

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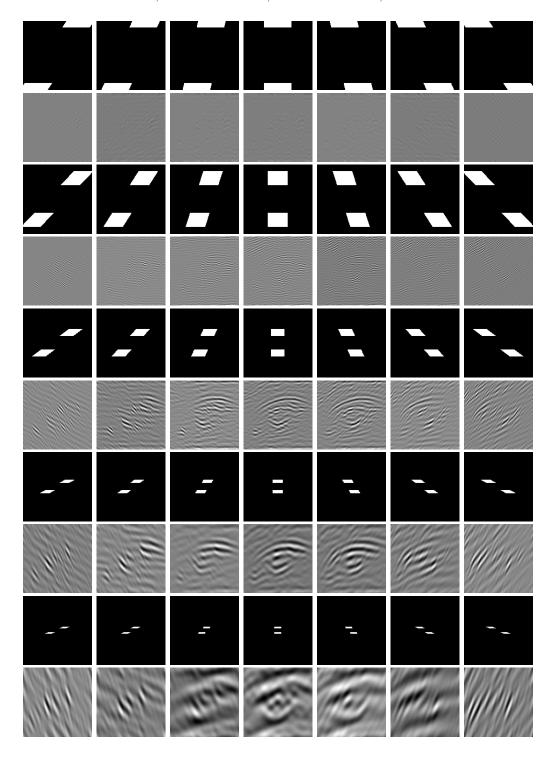


Figure 3. Shearlet coefficients for different values of a and s.

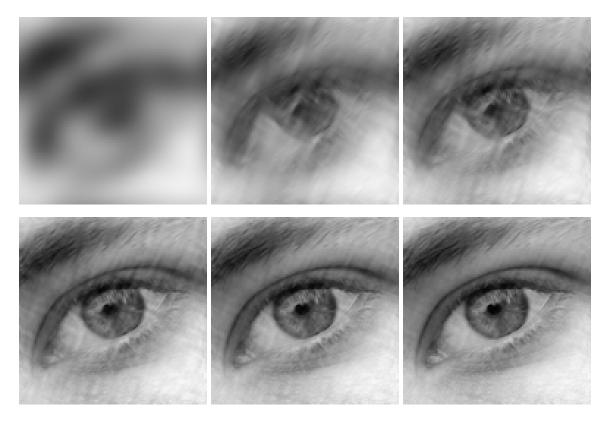


Figure 4. Several iterates of iteration (34) for  $\rho=0.01$  and q=1.